

[FROM BERNIE ROTH]

10/07

Stephen,

Thank you for agreeing to take up our concerns about the Army Grant. We hope that your report will provide the Stanford community with a clear, overall account of the structure of this grant and the nature of the research to be conducted. As to your request that we provide a brief summary of our specific concerns, we would request that you address the following questions:

- 1) Whether any of the research conducted within the Consortium, or on the premises overseen by the Consortium, will be secret? Will any of the research use classified or secret information? If so, does the research violate Stanford's policies as laid out in RPH 2.6?
- 2) If the answer to (1) is no, then please explain the following conditions, laid out in the Program Announcement A) that the Staff Scientists need to be capable of acquiring secret clearances (PA, 13) B) that the Infrastructure and Administration Member of the Consortium be "required to maintain a secret facility clearance and will be able to secure approval for the storage of SECRET information" (PA, 21).
- 3) According to the Program Announcement, 50% of the computer capacity of the Center will be devoted to Consortium activities, while the other 50% of the computer capacity at the Center will be "provided to researchers working on DOD HPC projects in government, industry and academia, over the Defense Research and Engineering Network (DREN) and other high speed networks" (PA, 21). Will any of this additional DOD research be classified or secret? If so, given that this research will be taking place at the Stanford/NASA Center, under the oversight of the Stanford led-Consortium, does this violate Stanford's policies as laid out in RPH 2.6?
- 4) The Program Announcement writes that Staff Scientists within the program must be U.S. citizens (PA, 13). Given that as many as three of these staff scientists may be based at Stanford, and given that the PA implies that most or all of these scientists will visit or rotate to the Stanford/NASA center at some stage, do the terms of the grant violate the stipulation that "Stanford shall not enter into any research agreements which permit discrimination on the basis of citizenship against individuals engaged in the proposed research activities on campus" (RPH 10.4). While this clause appears in the policy relating to foreign-sponsored research, it would seem to apply to all research activities at Stanford.
- 5) We would like clarification of the role which the private firm, HPTi, plays in the consortium. What will Stanford's relationship be to HPTi? Will HPTi be conducting secret research in connection with the AHPHC? If so, we would like an explanation of how this secret research can be separated from Stanford's leading role in the consortium.
- 6) Will any Stanford-affiliated personnel, or other academic members of the consortium, be employed as consultants by HPTi, the Army, or any other organization connected with

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the grant? If so, will they be working on classified/secret research or projects. If so, does this represent a violation of RPH 2.6?

7) We would also request a clarification of the role of the CAM, and the CAM's role in approving or modifying the research agenda of the Center. This would appear to give an outside entity (in this case the U.S. Army) undue control over the specific research activities of Stanford Faculty, potentially representing a violation of principles of academic freedom.

We thank your committee for taking the time to look into our concerns.  
Bernie

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